

# **Sundaram BNP Paribas FTP – AA**

**Tenure: 14 months**

**(A closed-end income scheme)**

Mutual Fund Investments are subject to market risks. Please read the Statement of Additional Information (SAI) and Scheme Information Document (SID) carefully before investing.

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**Sundaram BNP Paribas Mutual Fund**

# Key Information Memorandum

## Sundaram BNP Paribas FTP - AA

Sundaram BNP Paribas Asset Management-Investment Manager for Sundaram BNP Paribas Mutual Fund

A Closed-End Income Scheme

**New Fund Offer Period Opens on: 12/03/2010**  
**New Fund Offer Period Closes on: 17/03/2010**

Being a closed-end Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the Scheme will not reopen for subscriptions after the closure of NFO.

The Fund does not intend to buy the units back till the maturity of the Scheme. However, in order to provide the liquidity to the investors, the Scheme shall be listed on the NSE immediately on allotment. Hence, Investors who want to liquidate their units of the Scheme can sell the units in the secondary market.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the Scheme / Mutual Fund, Due Diligence Certificate by the Investment Manager, Key Personnel, investor's rights & services, Risk Factors, Penalties & Pending Litigations, Associate Transactions etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or online at [www.sundarambnpparibas.in](http://www.sundarambnpparibas.in).**

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The units being offered for the public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated 08/03/2010.

Please read the Scheme Information Document carefully before filling in the application form. Applicants are deemed to have understood the terms subject to which this offer is being made, by filling and signing this application form.

<b>Name of the Scheme</b>	<b>Sundaram BNP Paribas FTP – AA</b> Sundaram BNP Paribas FTP – Y - AC (comprising series Y, Z, AA, AB & AC). Each series under the scheme shall have a separate portfolio. The New Fund Offer for Sundaram BNP Paribas FTP – AA will commence on 12/03/2010 and closes on 17/03/2010. The new fund offer of Sundaram BNP Paribas FTP - Y commenced on 09/02/2010 and closed on 15/02/2010. The new fund offer of Sundaram BNP Paribas FTP - Z commenced on 04/03/2010 and closed on 09/03/2010. The other Series of Sundaram BNP Paribas FTP - AB & AC will commence at any time within six months from the date of SEBI clearance letter for the scheme information document. Each series will be identified at the time of launch.		
<b>Structure of the Scheme</b>	A closed-end income Scheme with a tenure of 14 months from the date of allotment. If the maturity date is not a working day, the subsequent working day shall be considered as the maturity date.		
<b>Investment Objective</b>	The objective of the Scheme would be to generate income with minimum volatility by investing in debt and money market securities, which mature on or before the maturity of the Scheme.		
<b>Asset Allocation</b>	<b>Types of Instruments</b> Money market instruments Short term and medium term debt instruments and securitised debt* *Investments in securitised debts can be up to 100% of the net assets. In terms of SEBI notification dated June 05, 2009, the Scheme shall not invest more than 30% of its NAV in money-market instruments issued by a single issuer.	<b>Normal Allocation (% of Net Assets)</b> Upto 100% Upto 100%	<b>Risk Profile</b> Low to medium Low to medium
<b>Investment Strategy</b>	The portfolio of the Scheme may be invested largely or completely in one type of instrument from one or more category of issuers, subject to adherence to single-issuer exposure limit specified in the SEBI Regulations. The portfolio of the Scheme may be invested largely or completely in one or more types of securities from even one category of issuers, subject to adherence to single-issuer exposure limit specified in the SEBI Regulations. The scheme would attempt to invest in fixed -income instruments. Purchase of debt may be made either through initial public offer, private placement, through rights offerings, purchase on the floor of a recognized stock exchange or through negotiated deals on the secondary market. The scheme may invest in privately-offered securities based on the merits of the investment proposals. The securities could be listed, unlisted, privately placed, secured, unsecured, rated or unrated and of any maturity bearing fixed-rate or floating coupon rate. The scheme invests in securities that are rated by CRISIL or ICRA or other independent credit rating agencies registered with SEBI. The scheme may also enter into repurchase and reverse-repurchases obligations in all securities held by it as per the guidelines and regulations applicable to such transactions. The scheme shall invest in instruments rated as at least investment grade by a recognized rating agency. In case, the instruments are not rated, specific approval of the Board of Directors of the Investment Manager or a committee constituted by the Board of Directors of the Investment Manager and the Board of Directors of Trustee Company or a Committee approved by the Trustee Company shall be obtained. The scheme intends to use derivatives as permitted by RBI/SEBI for hedging interest-rate risk. The actual percentage of investments in various floating- and fixed-interest rate securities and the position of derivatives will be decided on day-to-day basis depending upon the prevailing view on Interest rate. In cases where the repurchase/ redemption requirements are sizeable, the scheme may resort to temporary borrowing within the limits laid down by SEBI. Investments in derivative instruments: SEBI has permitted all mutual funds to participate in derivatives trading subject to observance of guidelines issued by it in this regard. There are risk factors and issues relating to the use of derivatives that investors should understand (Refer details provided in Risk Factors in Part I of Scheme Information Document).		
<b>Risk Profile</b>	Diversification: The funds intends to invest in securities issued by a wide spectrum of issuers; straddling across segments and different types of instruments. Concentration: Diversification strategy followed by the scheme, whereby the scheme will invest in securities issued by various issuers, will help mitigate the concentration risk. Liquidity: The scheme intends to invest predominately in liquid money market instruments and also maintain optimal cash/cash equivalents to mitigate any liquidity risk.		
<b>Scheme-Specific Risk Factors</b>	Credit risk, interest-rate risk, liquidity risk, market risk, risks associated with securitized debt, price risk and risks specific to closed-end schemes. This is only an illustrative list and not an exhaustive risk.		
<b>Plans and Options</b>	Growth & Dividend Payout If the investor does not clearly specify the choice of option at the time of investing, the default option will be Growth.		
<b>Provisions with respect to listing &amp; de-listing of Scheme Listings</b>	The units of the Scheme are proposed to be listed on NSE. NSE has given its in-principle approval for listing the units of this Scheme on its exchange vide its letter No. NSE/LIST/119604-8 dated September 30, 2009. <b>Mode of allotment</b> All Applicants whose cheques towards purchase of Units have realised will receive a full and firm allotment of Units, provided also the applications are complete in all respects and are found to be in order. The Trustee retains the sole and absolute discretion to reject any application. Applicants under each of the respective Plan(s) offered under the Scheme will have an option to hold the Units either in physical form (i.e. account statement) or in dematerialized form. <b>Allotment Advice (for demat holders)</b> An allotment advice will be sent upon allotment of Units stating the number of Units allotted to each of the Unit holder(s) who have opted for allotment in dematerialized mode within 30 days from the date of closure of the New Fund Offer Period. The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form. It may be noted that trading and settlement in the Units of respective Series over the stock exchange(s) the Units of the Scheme are listed will be permitted only in electronic form. However, if the applicant so desires, the Investment Manager shall issue Unit certificates to the applicant within 30 days of the receipt of request for the certificate. Unit certificate if issued must be duly discharged by the Unit holder(s) and surrendered alongwith the request for Redemption / Switch or any other transaction of Units covered therein. Rematerialization of Units will be in accordance with the provisions of SEBI (Depositories & Participants) Regulations, 1996 as may be amended from time to time. All Units will rank pari passu, among Units within the same Option in the Scheme concerned as to assets, earnings and the receipt of dividend distributions, if any, as may be declared by the Trustee.		

	<p><b>De-listing of the Scheme</b> The Scheme will mature on expiry of 14 months from the date of allotment. The Investment Manager/ Trustee will initiate the delisting procedure at least 30 days prior to the date of maturity of the Scheme. The unitholders will not be able to trade in stock exchange once the Scheme is delisted.</p>										
<b>Applicable NAV</b>	<p><b>Subscription and Switch-in</b> During the New Fund Offer (NFO) period, units will be offered at Rs 10 per unit and the entire amount is payable on application. The Scheme will not be open for on going subscription/switch in.</p> <p><b>Special products / facilities available during the NFO</b> No SIP/SWP/STP is available.</p> <p><b>Redemptions/Repurchases/Switch-out</b> Being a Scheme listed on the exchange, the Mutual Fund will not accept any redemption / repurchase and switch-out application till the maturity of the Scheme. Investors can sell the units of the Scheme at NSE where the units of the Scheme are proposed to be listed at available market price.</p> <p><b>Minimum Application Amount</b> Rs 5,000/-</p> <p><b>Dividend &amp; Distribution</b> The Trustee reserves the right to distribute the dividend subject to availability of distributable surplus. The Scheme will pay Distribution tax on the distribution.</p>										
<b>Benchmark</b>	CRISIL Short-Term Bond Fund Index										
<b>Name of the Fund Managers</b>	K.Ramkumar & Rahul Pal										
<b>Name of the Trustee Company</b>	Sundaram BNP Paribas Trustee Company Ltd										
<b>Performance</b>	This scheme does not have any performance track record										
<b>Load Structure</b>	<p><b>Entry Load:</b> Nil.</p> <p>Application for subscription may be sent directly to Sundaram BNP Paribas Asset Management or through distributors. In case the application is submitted through the distributors, the investor may pay upfront commission directly to the distributor, based on his assessment of various factors including service rendered by the distributor. The distributor (ARN holder) will disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various mutual funds from amongst which the Scheme is being recommended to the investor.</p> <p><b>Exit Load:</b> Not Applicable</p> <p>Please note that buying and selling the units of the Scheme from/ to the market (after closure of the NFO) will not entail any entry / exit load. Investors will have to bear the cost of brokerage and applicable taxes on the brokerage and other relevant charges as applicable for transacting on secondary market.</p>										
<b>Initial Issue Expenses</b>	<p>The initial issue expenses will be borne by the Investment Manager.</p> <p><b>Maximum Recurring expenses specified under the regulation as a % on weekly net assets</b> The maximum recurring expenses including the investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of average daily net assets:</p> <table border="1"> <thead> <tr> <th>Average daily net assets</th> <th>Maximum, (per annum) as a % of Average daily net assets</th> </tr> </thead> <tbody> <tr> <td>First Rs 100 crore</td> <td>2.25 %</td> </tr> <tr> <td>Next Rs 300 crore</td> <td>2.00%</td> </tr> <tr> <td>Next Rs 300 crore</td> <td>1.75%</td> </tr> <tr> <td>Balance assets</td> <td>1.50 %</td> </tr> </tbody> </table>	Average daily net assets	Maximum, (per annum) as a % of Average daily net assets	First Rs 100 crore	2.25 %	Next Rs 300 crore	2.00%	Next Rs 300 crore	1.75%	Balance assets	1.50 %
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<b>Tax Benefits</b>	<p>This summary of tax implications is based on the current provisions of the applicable Tax Laws. (For a more detailed description of applicable tax provisions, please refer the Scheme Information Document and relevant tax law). This tax information is provided for general information purpose only. Investors are advised to consult their tax adviser with respect to specific tax implications • Income of schemes of Sundaram BNP Paribas Mutual Fund is exempt from tax. • Income distributions from Mutual Fund would be tax free in the hands of the investors. The scheme will pay distribution tax of 12.50%, in the case of distribution to individuals and HUFs and @ 20.00% on distribution made to others (cess will also apply and based on current provisions of the tax law, the rates will be 12.875% and 20.06% respectively). • Money Market Mutual Fund and Liquid Funds are required to pay dividend distribution tax at the rate of 25.00%. With cess, the relevant rate will be 25.75%. • Units will be treated as a long-term capital asset if held as a capital asset for more than 12 months. If the units are held for less than or equal to 12 months, they will be treated as short-term capital asset. • Long-term capital gains are taxable at 10% without indexation of the cost of acquisition or at 20% with indexation of the cost of acquisition. • Short-term capital gains are taxable at normal rates applicable to the investor as per the provisions of the Income Tax Act. • Capital loss resulting from sale of units would be available for setting off against other capital gains made. Losses on transfer of long-term capital assets would however be allowed to be set-off only against gains from transfer of long-term capital assets. The balance long-term capital loss shall be carried forward separately for a period of eight assessment years to be set off only against long-term capital gains. • Where a person buys any units within a period of three months before the record date and sells such units within nine months after such date, then the capital loss, if any, on such sale cannot be set off to the extent of dividend income. • In addition to income tax, surcharge on income tax will be payable at rates prescribed by the respective Finance Act, depending on the total income of the investor. A cess of 3% is also payable on the total tax (income tax and cess.) • No tax will be deducted at source from income paid to any investor as also from redemption amounts paid to resident investors. • Units of the scheme are an eligible mode of investment in terms of the pattern of investment prescribed under the Income Tax Act for the following entities: • Charitable Trusts • Scientific Research Associations • Institutions/Associations/Boards for regulating games / sports • Employee Welfare Trusts • If units of the scheme are given as a gift no gift tax will be payable. • Units of this scheme are also exempt from wealth tax. This information is provided for general purpose only. In view of the individual nature of tax implications, investors are advised to consult his/her tax adviser with respect to the specific tax implications arising out of an investment in the scheme.</p>										
<b>Daily Net Asset Value (NAV) Publication</b>	On all working days, NAV will be computed (except in special circumstances detailed in the Statement of Additional Information/Scheme Information Document) and published in 2 newspapers and updated on the websites of Sundaram BNP Paribas Asset Management ( <a href="http://www.sundarambnpparibas.in">www.sundarambnpparibas.in</a> ) and the Association of Mutual Funds of India ( <a href="http://www.amfiindia.com">www.amfiindia.com</a> ). Sundaram BNP Paribas Asset Management shall normally update the NAV on the website of Association of Mutual Funds of India before 9.00 p.m. on every working day										
<b>Disclosure of Portfolios</b>	In line with the SEBI circular SEBI/IMD/CIR No. 15/157701/2009 dated March 19, 2009, the portfolio of the Scheme shall be disclosed in the prescribed format on a monthly basis at <a href="http://www.sundarambnpparibas.in">www.sundarambnpparibas.in</a>										
<b>For Investor Grievances please contact</b>	<table border="0"> <tr> <td style="vertical-align: top;"> <p><b>Investor Relations Manager</b> <b>Shalini Mohan Rao</b> Sundaram BNP Paribas Asset Management II Floor, II Phase Sudarshan Building, 14, Whites Road, Royapettah, Chennai - 600 014. Telephone: (044) 28578700 Fax: (044) 28582200</p> </td> <td style="vertical-align: top; padding-left: 20px;"> <p><b>Registrar</b> <b>Computer Age Management Services (P) Ltd.</b> Registration No. INR000002813 (Unit: Sundaram BNP Paribas Mutual Fund), No.178, M.G.R.Salai, Nungambakkam, Chennai 600 034. Telephone: 044-3911 5551 / 3911 5685</p> </td> </tr> </table>	<p><b>Investor Relations Manager</b> <b>Shalini Mohan Rao</b> Sundaram BNP Paribas Asset Management II Floor, II Phase Sudarshan Building, 14, Whites Road, Royapettah, Chennai - 600 014. Telephone: (044) 28578700 Fax: (044) 28582200</p>	<p><b>Registrar</b> <b>Computer Age Management Services (P) Ltd.</b> Registration No. INR000002813 (Unit: Sundaram BNP Paribas Mutual Fund), No.178, M.G.R.Salai, Nungambakkam, Chennai 600 034. Telephone: 044-3911 5551 / 3911 5685</p>								
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<b>Information to unit holders:</b>	<p>Accounts statement for each transaction other than SIP/STP/SWP, and annual financial statements shall be sent to investors by post or e-mail. Portfolio of the scheme shall be published in two newspapers as permitted under SEBI (Mutual Funds) Regulations, 1996.</p> <p>Mutual Fund Investments are subject to market risks. Please read the Statement of Additional Information (SAI) and Scheme Information Document (SID) carefully before investing.</p> <p>Copy of the Scheme Information Document, key information memorandum and application form may be obtained from the offices / investor service centres of Sundaram BNP Paribas Asset Management, its distributors and online at <a href="http://www.sundarambnpparibas.in">www.sundarambnpparibas.in</a>.</p>										
<b>General Risk Factors</b>	<p>Mutual Funds and all securities investments are subject to market risk and there is no assurance or guarantee that the Fund's objectives will be achieved. As with any investment in securities, the NAVs of the units issued under the scheme can go up or down depending upon the factors and forces affecting the capital market. Past performance of the Sponsor/Asset Management Company/Fund does not indicate the future performance. Investors in the Scheme are not being offered any guaranteed or indicated returns. Sundaram BNP Paribas FTP – Y - AC (comprising series Y, Z, AA, AB &amp; AC) does not in any manner indicate either quality or future prospects and returns. NAV may rise or decline depending on market conditions. Main types are market risk, liquidity risk, credit risk and systemic risks. Changes in government policy and tax benefits applicable to mutual funds may impact returns to investors. At times, liquidity of investments may be impaired. There is risk of capital loss.</p>										
<b>Statutory</b>	Sundaram BNP Paribas Mutual Fund has been set up as a trust under the Indian Trusts Act, 1882 <b>Sponsors:</b> Sundaram Finance Limited & BNP Paribas Asset Management. <b>Investment Manager:</b> Sundaram BNP Paribas Asset Management Company Limited. <b>Trustee:</b> Sundaram BNP Paribas Trustee Company Limited. The sponsors are not responsible or liable for any loss beyond the contribution of Rs.1 lakh to set up the Mutual Fund.										





## Checklist

**Please provide correct and complete data**

**Please complete Know Your Customer requirement (if you intend to invest Rs 50,000 or more)**

**Please use separate forms and issue separate cheques to apply for both Scheme**

**Please make the Cheque/ Demand Draft in the name of Sundaram BNP Paribas FTP – AA**

**Please ensure you take care to mention:**

- Permanent Account Number (PAN is mandatory for all investors).
- Please mention the DEMAT account number.
- Mode of operation in case of joint applicants
- Bank account details of the first applicant
- Bank details for direct credit/ ECS/NEFT/RTGS
- If the investor or nominee is a minor, date of birth of minor and name of guardian must be indicated
- If investment is made under Power of Attorney, specimen signature of authorized signatory
- NONE in nomination column if it is not filled up.

**Please attach:**

- Copy of Permanent Account Number Card
- KYC Acknowledgement.
- Signed 'Account Payee' cheque/draft drawn in the name of Sundaram BNP Paribas FTP – AA for the amount of investment after writing the Permanent Account Number (PAN) on the reverse.
- A cancelled cheque or a photocopy of your cheque leaf if you wish to avail the facility of direct credit/ ECS
- If investment is made under Power of Attorney, notarised copy of the Power of Attorney
- If the investor is a Non-Resident Indian (NRI), FIRC/Account Debit advice from the bank if invested by demand draft out of FCNR/NRE Account
- In case of a Trust/Fund, a resolution of the Trustee(s) authorising the investment must be submitted.
- If you are an institution, please attach a copy of documents indicated in the table:

**Special Categories** (attach copy)

Document	Corporate	Society	Partnership	Trust	FI
Memorandum & Articles	✓				
Resolution/Authorisation to Invest	✓	✓	✓	✓	✓
List of Authorised Signatories & Specimen Signatures	✓	✓	✓	✓	✓
Bye-Laws		✓			
Trust Deed				✓	
Partnership Deed			✓		
SEBI Registration Certificate					✓

## 1. Existing Unit Holder

Investors already having an account in any of Sundaram BNP Paribas Mutual Fund Scheme(s) serviced by CAMS should provide their Folio Number and the Scheme Name. Such applicants need not fill the details in Section 2 and may directly proceed to Section 3. Please mention the DEMAT account number.

## 2. Unit holder Information - New Investors

Name and address must be written in full. In case the Investor is an NRI/FII, an overseas address must be provided. A local address if available may also be mentioned in the Application Form.

Name of the Guardian must be mentioned if the investments are being made on behalf of a minor. Please mention the DEMAT account number.

Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a certified true copy of the same duly notarised) or the relevant resolution or authority to make the application (or duly notarised copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or by-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified / attested should also be attached to the Application Form. In case of a Trust/Fund a resolution from the Trustee(s) authorising such purchase must be submitted. In case of more than one applicant, applicants are requested to state the Mode of holding as 'Joint' or 'Anyone or Survivor'. In case of omission to choose the mode of holding, the default option shall be 'Anyone or Survivor'. All communication and payments shall be made in the name of and favouring the first/ sole applicant.

## Permanent Account Number (PAN)

In terms of SEBI circular dated 27/04/2007, PAN shall be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction with effect from July, 2, 2007. Accordingly, in the case of investments of any value, the applicant or incase of applications in joint names, each applicant, is required to mention their PAN (Permanent Account Number) allotted under the Income Tax Act, 1961, and attach a copy of PAN card with the application. Applications accompanying without a copy of the PAN will be liable to be rejected. With effect from January 01, 2008 PAN Copy is mandatory for all applicable transactions.

## Instructions for verification:

- Investment Manager branch officials/Registrar and Transfer Agents and Investor Centres will verify the PAN card copy with originals. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.
- Alternatively copy of the PAN shall be attested by the Bank Manager/Gazetted Officer of State/Central Government / Notary Public/Judicial Authorities.
- Alternatively, the distributors can verify the PAN card copy with originals. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.
- All other document/copies to be self certified by the investor and certified by AMFI certified distributor (with ARN affixed).
- Applications without required documents will be liable to be rejected. With effect from January 01, 2008 PAN Copy will be mandatory for all applicable transactions.

## 3. Investment Details

Investors should indicate the option for which the subscription is being made, by indicating the choice in the appropriate box provided for this purpose in the Application Form. If you do not indicate an investment option, the Growth option shall be applied as default option.

## 4. Direct Credit of Redemption / Dividend Proceeds

Please tick the box if you would like to receive your redemption payment under the following mode.

- Direct Credit to your bank account with select banks.
- Warrants/Drafts (The warrants/drafts will be payable only at cities where Sundaram BNP Paribas Mutual Customer Service Centres are located).

Investor who opt to receive Direct Credit facility are requested to enclose a cancelled cheque or a photocopy of the cheque indicating the bank account number and MICR code.

However, if the Unit holders are not keen on availing of this facility and prefer receiving cheques / demand drafts, Unit holders may indicate their intention in the Application Form. The Investment Manager would then ensure that the payouts are effected by sending the Unit holders a cheque / demand draft. In case of unforeseen circumstances, the Investment Manager reserves the right to issue a demand draft / payable at par cheque.

## Electronic Clearing Service (ECS)

Investors who have opted for the ECS facility of RBI for dividend payment will receive a direct credit of the amount due to them in their notified account whenever the payment is made through ECS. The ISC will send a separate advice to the Unit holders informing them of the direct credit. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous Investors.

Applicants in cities not covered under ECS facility will receive dividend payments by cheques or demand drafts and the same will be mailed to the Unit holders.

Please note that the ECS facility is available only in respect of dividend payments and not in the case of Redemption of Units.

## 5. Bank Account Details (Mandatory as per SEBI Regulations)

The Trustees / Investment Manager will not be responsible for any loss arising out of fraudulent encashment of cheques or delay/loss in transit. Investors must write the application form number/folio number on the reverse of the cheque and bank draft accompanying the application form.

## 6. Payment Details

Mode of Payment: The cheque/bank draft should be drawn in favour of "Sundaram BNP Paribas FTP – AA" and crossed "A/c Payee only".

## 7. E-mail Communication

Account Statements / Annual Reports can be sent to each Unit holder by courier / post / e-mail.

The investor is aware that the mutual fund needs to use intermediaries such as post office, local and international couriers, banks and other intermediaries for correspondence with the investor and for making payments to the investors by cheques, drafts, warrants, through ECS etc. The Investor expressly agrees and authorises the mutual fund to correspond with the investor or make payments to the investor through intermediaries including but not limited to post office, local and international couriers and banks. The investor clearly understands the mutual fund uses such intermediaries as agents of the investor and not the mutual fund. The Mutual fund is not responsible for delayed receipt or non-receipt of any correspondence or payment through such intermediaries.

## 8. Personal Identification Number (PIN) facility

Applicants who request a Personal Identification Number (PIN) by ticking the box in this section will be sent a PIN agreement which will be mailed separately. This PIN allows you to access your account information via the Internet.

## 9. Nomination

Please fill in this section should you wish to nominate someone.

## 10. Declarations & Signatures

Signature should be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF the Karta should sign on behalf of the HUF.

## General

### Prevention of Money Laundering

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines/circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate and implement a client identification programme, verify and maintain the record of identity and address (es) of investors.

In order to make the data capture and document submission easy and convenient for the investors, Mutual Fund Industry has collectively entrusted this responsibility of collection agency. Presently CDSL Ventures Limited acts as central record keeping agency ('Central Agency'). CDSL has appointed Points of Services (POS) to carry out the KYC process. Investors are required to attach a copy of PAN card as a mandatory document for proof of identity. As a token of having verified the identity and address and for efficient retrieval of records, the POS will issue an acknowledgement.

Investors who have obtained the acknowledgement after completing the KYC process, can invest in the Scheme of the mutual fund by quoting the PAN number in lieu of submitting information and documents required under AML Laws.



## Collection Centers

### Offices of Sundaram BNP Paribas Asset Management

**Agra:** Block no.41/4, Shop no.9, 1st floor, Friends Tower, Sanjay Palace Agra – 282002 Ph: 9319145256  
**Ahmedabad:** 104,Arth Complex, Behind A.K. Patel House, Mithakali Six Roads, Navarangapura, Ahmedabad 380009. Ph: 079-66613337/26440442 **Amritsar:** C/O Sundaram Direct, Hall No-2, 27 Classic Plaza, Above Punjab National Bank, Majitha Road, Amritsar 143001. Ph: 9814767182 **Anand:** M/s.Sundaram Direct, F-1/1 Suramya Complex, Opp. C.P. Travel & Tours, Nr. Masada Bakery, Anand - V.V. Road, Anand. Ph No: 9327583372 **Bangalore:** First Floor, 'Sri Balaji Sovereign', No-132, Brigade Road, (Near Brigade Towers), Bangalore - 560 025. Ph: 080-22485551/2/3 **Baroda:**127,1flfloor,Siddharth Complex, Near Express Hotel, R.C.Dutt Road, Alkapuri, Baroda 390007 Ph: 0265-3203204 / 2320671  
**Bhavnagar:** C/O Sundaram Finance Limited, G-5 Aristo complex, Opp madhav darshan, Near Radha Mandir, Waghavadi Road. Bhavnagar-364001. Ph No: 0278-2513494/9825107110 **Bhopal:** Plot No. 10&11, 3rd floor, Alankar palace, Bank street, M.P.Nagar, Bhopal 462011. Ph: 0755-3203306  
**Bhubaneswar:** Office No-16, 2nd Floor, Deendayal Bhawan, Ashok Nagar, Bhubaneswar-751009. Ph: 0674-2530577 **Calicut:** 3rd Floor, 17/501 R2, Kanchas Building, Rajai Road, Calicut - 673001. Mob:09847582339 **Chandigarh:** SCO-2475-2476, 2nd Floor, Sector 22C, Chandigarh-160022. Ph: 0172-5009166/3206890 **Chennai - H.O.:** Sundaram Towers, II Floor 46, Whites Road, Royapettah, Chennai - 600041. Ph: 044 - 28583362 / 28569900 **Chennai Sales Office:** Old No; 20, New No: 14, T.V.K. III Street, Royapettah, High Road, Opp; Kesari High School, Chennai 600 014. Ph: 044-24988740/24988741/24988742 **Cochin:** 'Radhika' Opp. to Axis Bank Rajaji Road, Cochin - 682035. Ph: 0484-4027747/48 **Coimbatore:** No 41-A, I Floor, West Lokmanya Steet, R.S.Puram, Coimbatore 641002. 0422-4360058/2542816 **Dehradun:** C/o Sundaram Finance Ltd., 57/19,II Floor, Shiva Palace, Rajpur Road, Dehradun 248001. Ph: 0135-3203262 / 9719166626 **Dubai:** Representative Office, Office No.204, 2nd Floor, Above Ravi Darbar Restaurant at Burjuman Signal, Opp.Burjuman Centre and at the Exit of Khalid Bin Al Waleed Metro Station, P.O. – 124337, Khalid Bin Al Waleed Street, Bur Dubai, Dubai (UAE) Phone No. # +971 4 396 1469 **Goa:** Shop No.F30, D Block, 1st Floor, Alfran Plaza, Panaji, Goa-403001. Ph No: 9860817771 **Guwahati:** Anandi Commercial Complex, 2nd Floor, Bora Service, G.S.Road, Guwahati - 781007 Ph: 0361-2465591 **Gwalior:** C/o. Sundaram Finance, 2nd Floor,44 City Centre, Narayan Krishna Madhav Rao Scindia Marg, Gwalior 474002. Ph No: 9826857737 **Hosur:** No.1, I Floor, No:4/517-6, Soudiya Complex, Opp.Muthumariyamman Temple, Bangalore Road, Dharga, Sipcot (Post), Hosur 635 109. Ph: 9944482055 **Hubli:** Shop no 005, Kundagol Complex, Court Circle, Hubli, Karnataka 580029. Ph: 0836-3247428 / 09986089548 **Hyderabad:** V V Vintage Boulevard, F.No.203, 2nd Floor, Raj Bhavan Road, Somajiguda, Hydrebud 500082. Ph: 040-23393669 / 23390815 / 23397600  
**Indore:** 125,Starlit Towers, 29/1 Y.N.Road, Indore 452001. Ph: 0731-3202029/4224546 **Jabalpur:** C/o. Sundaram Finance, 'Mangalam' 1st Floor, 103/3, Shastri Bridge Road, Napier Town, Jabalpur 482001, Ph: 9826777917 **Jaipur:** 303, 3rd Floor, Brij Anukampa Towers, Ashok Marg, C-Scheme, Jaipur 302011. Ph: 0141-5118364/3213853 **Jalandhar:** C/o Sundaram Finance Ltd., 5E, 1st Floor, Session Court Road, Near BMC Chowk, Jalandhar 144001. Ph: 0181-3248520/9872071282 **Jamshedpur:** Shop No.5/B, 3rd Floor, Meghdeep Building, Beside Hotel South Park, 'Q' Road, Bistupur, Jamshedpur - 831001. **Jodhpur:** 116, 1st floor, Mody Arcade, Chopasani Road, Jodhpur- 342003. Ph: 0291-2612168 **Kanchipuram:** Door No:174-175 (First Floor), Gandhi Road, (Near Pachayappa Silks), Kanchipuram 631 501. **Kanpur:** 2nd Floor, 218, 219 Kan Chambers, 14/113, Civil Lines, Kanpur 208001. Ph: 0512-3018530 / 3013530  
**Kolkata:** "Lords Building", 7/1 Lord Sinha Road, Room No: 312, 3rd Floor, Kolkatta 700071. Ph: 033-30580160 / 61 / 62 / 63 / 22816707 / 22835504 **Lucknow:** 04, UGF, Sky Hi Chamber, 5-Park Road, Lucknow-226001. Ph 0522 - 4060004 / 4040002 **Ludhiana:** SCO - 18, 4th Floor, Feroze Gandhi Market, Opp. Ludhiana Stock Exchange, Ludhiana-141001. Ph: 0161-3018500 / 2402021 **Madurai:** No: 183C, North Veli Street, Opp: Duke Hotel, Madurai 625001. Ph: 0452-4376801/4377478 **Mysore:** #21, 1st Floor, Prashanth Plaza, 3rd Main, 5th Cross, Saraswathipuram, Mysore 570 009. Ph: +91 9731244338  
**Mumbai:** 606, 6th Floor, Dalamal House, Nariman Point, Mumbai 400 021. Ph: 022-22842878 / 22842879 / 22833863 / 22842832 • B-2/ 202, 2nd Floor, Marathon Innova NextGen Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park, Lower Parel, Mumbai -400 013. Ph No: 022-24820300/ 24986200  
**Nagpur:** C/O Fortune Business Centre, I Floor, 6 Vasant Vihar, W H C Road, Shankar Nagar, Nagpur-440010. Ph: 0712 - 2567346 / 2558581 Mob: 09922992842 **Nashik:** L-17, Suyojit Sankul, Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik 422002. Ph: 9326788990 **New Delhi:** 602, 6th Floor, Ashoka Estate, 24, Barakhamba Road, New Delhi 110 001. Ph: 011-41515138 / 41515139 **Patna:** 205, Ashiana Hari Niwas, New Dak Bungalow Road, Patna 800001. Ph: 0612-3200593 **Pondicherry:** C/o. Sundaram Home Finance Ltd, 40, Mission Street, Pondicherry 605001. Ph: 9443090091 **Pune:** 1st Floor, Rachana Trade Estate, Erandwane, Opp Swad Hotel, Pune 411004. Ph: 020-30280927 / 28 / 29 / 30 **Raipur:** Millenium Plaza, Office No 26, 3rd Floor, Behind Indian Coffee House, Raipur – 492001, Ph: 0771-4263615 **Rajkot:** 202-Business Terminal Complex, Opp. Ram Krishna Ashram, Dr. Yagnik Road, Rajkot - 360001 Ph No: 0281 3012578 **Salem:** New No.210 Old No315C, AVK Arcade, Omalur Main Road, Opp, to New Bus Stand, Salem 636004. Ph: 0427-4042827 / 9843081847 **Surat:** L-14, Jolly Plaza, Opp- Athwa Gate Police Station, Athwa Lines, Surat 395001. Ph: 0261-2461384 / 85 **Thrissur:** XXVIII / 85 / 63, Avokaran Arcade Patturaickal, Thrissur – 680022. Ph No: 9947044699 **Tirunelveli:** 25 N / 1,S.N.High Road, Near Sripuram Bus stop Sripuram Tirunelveli – 627001 Ph : 0462-4220034 **Trichy:** Krishna Complex, 1st Floor, 60, Shastry Road, Tennur, Trichy 620017. Ph: 0431-2741509/4020828 **Trivandrum:** C/O Sundaram Finance Ltd., Kairali Plaza, First Floor, Killipallem, Karamana P.O., Trivandrum 695002. Ph: 0471-2342686 / 2342687 **Udaipur:** 110, Ridhi Sidhi Complex, 4-C, Madhuban Udaipur. Ph No: 9314280777 **Varanasi:** Flat No; 7, 2 ND Floor, Rama Kunj, C-32-22/17, Ram Sing Rana Nagar Colony, Cantt Sagra Road, Varanasi-221002. Ph No: 99352-43721 **Vellore:** First Floor, TKM Complex, 46 - 51, Katpadi Road, Vellore 632 004. Ph: 0416-2229471/2229431 **Vijayawada:** D.No. 40-10-5, Sree Ramachandra Complex, Benz Circle, Bajaj Showroom Lane, Vijayawada 520010. Ph: 0866-2470778 / 9848032734 **Vizag:** 47-10-10, 2nd Floor Rednam Regency, Near Diamond Park, Dwarakanagar, Visakhapatnam 530016. Ph: 0891-3209440 / 98480 35892

### CAMS - Investor Service Centres & Transaction Points

**Ahmedabad:** 402-406, 4th Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006. Ph: (079) 2642 4940, 2646 4929  
**Bangalore:** Trade Centre, 1st Floor, 45, Dikensan Road (Next to Manjil Centre), Bangalore - 560 042. Ph: 080 - 3094 1357, 3094 2468 **Bhubaneswar:** 101/7, Janpath, Unit - III, Bhubaneswar - 751 001. Ph : (0674) 253 4909, 253 5395  
**Coimbatore:** 66, Lokamanya Street (West), Ground Floor, R.S.Puram, Coimbatore - 641 002. Ph: (0222) 5369 575, 5369 576 **Cochin:** 40/9633 D, Veekshanam Road, Near International hotel, Cochin - 682 035 **Chandigarh:** SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. Ph: (0172) 2706 651, 2711 325 **Chennai:** Ground Floor, A & B, Lakshmi Bhawan, 609, Anna Salai, Chennai 600 006. Ph: (044) 2829 5163, 2829 1549 **New Delhi:** 304-305 III Floor, Kanchenjunga Building, 18, Barakhamba Road, New Delhi - 110 001. Ph: (011) 2335 3831, 2335 3832, 2335 3833 **Goa:** No.108, 1st Floor, Gurudutta Bldg, Above Weekender, M G Road, Panaji Goa - 403 001. Ph: (0832) 5645787, 2424527 **Secunderabad:** 102, First Floor, Jade Arcade, Paradise Circle, Secunderabad - 500 003. Ph: 040 - 5532 1531, 5532 1532 **Indore:** Dalal Chambers, 101, Sagamatha Apartments, 1st Floor, 18/7 MG Road, Indore - 452 003 Ph: (0731) 252 8609, 252 9261 **Jaipur:** G-III, Park Saroj, Behind Ashok Nagar Police Station, R-7, Yudhishthir Marg, C-Scheme, Jaipur - 302 001. Ph:0141 - 222 0948, 222 0951 **Kanpur:** G - 27, 28 - Ground Floor, City Center, 63/ 2, The Mall, Kanpur - 208 001. Ph: (0512) 230 6668, 230 6685 **Kolkata:** "LORDS Building", 7/1, Lord Sinha Road, Ground Floor, Kolkata - 700 071. Ph: (033) 3058 2297, 3058 2285, 3058 2303 **Lucknow:** No.3, First Floor, Saran Chambers 1, 5, Park Road, Lucknow - 226 001. Ph: (0522) - 2237309 **Ludhiana:** Shop no. 20-21 (Ground Floor), Prince Market, near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, P.O: Model Town, Ludhiana - 141 002 Ph: (0161) 501 7502, 241 0273 **Mangalore:** 6. First Floor, West Gate Terminus, Falnir Road, Opp. Unity Health Centre, Highlands, Mangalore - 575 002. Ph: (0824) 243 6567, 255 2525 **Mumbai:** Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400 023. Ph: 22702414, 22702415, 22702416, 22622903, 22622904 **Nagpur:** 145 Lendra Park, Behind Shabri, Near Ramdaspeth, Nagpur - 440 010. Ph: (0712) 253 2447, 253 7321 **Patna:** Kamalaya Shobha Plaza (1st Floor), Behind RBI Near Ashiana Tower, Exhibition Road, Patna - 800 001. Ph: (0612) 2322 206 **Pune:** Nimiti Eminence, Off No. 6, I Floor, Opp Abhishek Hotel Mehendale Garage Road, Erandwane, Pune - 411 004. Ph: 020 - 2545 9439/2545 9440 **Surat:** Niva Apartments, Above Sagrapura-Rudrapura Co-op Bank, Bhatia Street, Nanpura, Surat - 395001. Ph: (0261) 246 4887, 246 4679, 246 2531 **Vadodara:** 109 - Silver Line, Besides world Trade Centre, Sayajigunj, Vadodara - 390 005. Ph: (0265) 222 5146, 236 2412 **Visakhapatnam:** 479/17, 1st Floor, 3rd Lane, Dwaraka Nagar, Visakhapatnam - 530 016. Ph: (0891) - 2598 875, 2540 175

#### Transaction Points

**Agra:** F-39/203, Sky Tower, Sanjay Place, Agra - 282002. Ph: (0562) 252 1812  
**Allahabad:** 1st Floor, Chandra Shekar Azad Complex, (Near Indira Bhawan), 5, S.P. Marg, Civil Lines, Allahabad - 211001. Ph: (0532) 260 1602 **Amaravati:** 81, Gulsham Tower, Near Panchsheel, Amaravati - 444 601. Ph: (0721) 3099512  
**Amritsar:** 378-Majithia Complex, 1st Floor, M. M. Malviya Road, Amritsar - 143001. Ph: (0183) 221 1194 **Asansol:** G.I. Road, Beside George Telegraph Office, Asansol - 713301. Ph: (0341) 220 4865 **Aurangabad:** Office No. 1, 1st Floor, Amodi Complex, Juna Bazar, Aurangabad - 431 001. Ph: (0240) 2363 664 **Belgaum:** No. 21, Ground Floor, Arvind Complex, 1552, Maruti Galli, Belgaum - 590 002. Ph: (0831) 2425 305 **Bhilai:** 209, Khichariya Complex, Opp IDBI Bank, Nehru Nagar Square, Bhilai - 490 020. Ph: (0788) 505 0568 **Bhopal:** C-12, 1st Floor, Above Life Line Hospital, Zone-I, M.P.Nagar, Bhopal - 462011 (M.P.). Ph: (0755) 528 5266 **Calicut:** 17/28, H 1st Floor, Manama Towers, Marvoor Road, Calicut - 673 001. Ph: (0495) 272 3173 **Dhanbad:** Umilma Towers, Room No: 111(1st Floor), Bank More, Dhanbad - 826 001. Ph: (0326) 230 4675 **Dehradun:** 81, Chakrata Road, Dehradun - 248 001. Ph: (0135) 271 3233 **Durgapur:** SN- 10, Ambedkar Sarani, City Centre, Durgapur - 713216. Ph: (0343) 254 8190 **Guntur:** Shyamundur Golden Towers, Ground Floor, 3rd Lane, Brodipet, Adjacent to Over-bridge, Guntur - 522 002. Ph: (0863) 5580 838 **Guwahati:** A.K. Azad Road, Rehbari, Guwahati - 781008. Ph: (0361) 260 7771 **Hubli:** No.208, 'A' Block, 1st Floor, Kundagol Complex, Opp. Court, Club Road, Hubli - 580029. Ph: (0836) 225 1213 **Jalandhar:** 367/8, Central Town, Opp. Gurdwara Diwan Ashan, Jalandhar - 144001. Ph: (0181) 2456336 **Jamnagar:** 207/209, K.P. Shah House I, K.V. Road, Jamnagar - 361 001. Ph: (0288) 255 8467, 311909 **Jamshedpur:** Millennium Tower, S-4 Ground Floor, R-Road, Bistupur, Jamshedpur - 831001 **Jodhpur:** 1/5, Nimal Tower, I Chhopasani Road, Jodhpur - 342 003. Ph: (0291) 309 2892, 262 8039 **Kota:** B-33 'Kalyan Bhawan', Triangle Part, Vallabh Nagar, Kota - 324 000. Ph: (0744) 2505 452 **Madurai:** 86/71A, Tamilsangam Road, Madurai - 625 001. Ph: (0452) 2622 682 **Manipal:** Academy Annex, First Floor, Opposite Corporation Bank, Upendra Nagar, Manipal - 576104. Ph: (0820) 257 3333, 529 2033 **Merrut:** 108 1st Floor Shivam Plaza, Opposite Eves Cinema, Hapur Road, Merrut - 250 002. Ph: (0121) 2400 700 **Moradabad:** B-612 'Sudhakar', Lajpat Nagar, Moradabad - 244 001. Ph: (0591) 3092844 **Mysore:** No.3, 1st Floor, CH-26 7th Main, 5th Cross (Above Trishakti Medicals), Saraswati Puram, Mysore - 570 009. Ph: (0821) 309 1244, 234 2182 **Nasik:** "Varsha Bungalow", 1st Floor, Near Rungtha High School, 493, Ashok Stambh, Nasik - 422001. Ph: (0253) 257 7449 **Nellore:** Shop No.13, First Floor, KAC Plaza, R R Street, Nellore - 524 001. Ph: (0861) 5512 582 **Panipat:** 13, First Floor, Gausahala Mandi Market, G T Road, Panipat - 132 103. Ph: (0180) 264 1904 **Patiala:** 35, New Lal Bugh Colony, Patiala - 147001. Ph: (0175) 222 9633, 309 3724 **Pondicherry:** S-8, 100, Javaharal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry - 605 001. Ph: 0413 - 521 0030 / 309 2468 **Raipur:** C-23, Sector 1, Devendra Nagar, Raipur - 492004. Ph:(0771) 309 0830 **Rajahmundry:** D.No 7-27-4, Krishna Complex, Baruvuri Street, T Nagar, Rajahmundry - 533101. Ph: (0883) 5565531 **Rajkot:** 111, Pooja Complex, Harihar Chowk, Near GPO, Rajkot - 360001. Ph: (0281) 2241 399 **Ranchi:** 223, Tirath Mansion (Near Over Bridge), 1st Floor, Main Road, Ranchi - 834 001. Ph: (0651) 309 5122 **Rourkela:** 1st Floor, Mangal Bhawan, Phase II, Power House Road, Rourkela - 769001. Ph: (0661) 2513098 **Salem:** 28, I Floor, Aditya Ashram Road, Salem - 636 004. Ph: (0427) 244 6338 **Siliguri:** No 8, Swamiji Sarani, Ground Floor, Hakimpara, Siliguri - 734401. Ph: (0353) 221 6065 **Trichur:** VIII/350/15, O.K John Memorial Building, Ekkanda Warriar Road, Trichur - 686 001. Ph: (0487) 2420646 **Trichy:** No. 8, I Floor, 8th Cross West Extn., Thillainagar, Trichy - 620 018. Ph: (0431) 274 1717 **Trivandrum:** Tc 15/2012, Sheelatha Building, Womens' College Lane, Vazuthacadu, Trivandrum - 695 014. Ph: (0471) 3950 414 **Udaipur:** 32, Ahinsapuri, Fatehpura Circle, Udaipur - 313004. Ph: (0294) 3091722 **Varanasi:** C 27/249 - 22A, Vivekanand Nagar Colony, Maldhariya, Varanasi - 221002. Ph: (0542) 220 8546, 311 3810 **Vijayawada:** 40-1-48/2, Bandar Road, Adj. To HDFC Bank, Vijayawada - 520010. Ph: (0866) 559 5657 **Valsad:** C/o. CAD HOUSE, Siddhivinayak Complex, F-1, 2nd Floor, Avenue Building, Near R.J.J. School, Tithal Road, Valsad - 396001. Ph: (0263) 249 957